



DEPARTMENT OF THE NAVY  
NAVAL TRAINING CENTER  
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GREAT LAKES, ILLINOIS 60088-5000

NTCGLAKESINST 5500.9A  
N31  
21 September 1998

NTC GREAT LAKES (COMPLEX<sup>2</sup>) INSTRUCTION 5500.9A

From: Commander, Naval Training Center, Great Lakes

Subj: CARRYING OF FIREARMS/USE OF DEADLY FORCE

Ref: (a) OPNAVINST 5580.1  
(b) OPNAVINST 5530.14B  
(c) SECNAVINST 5500.29B

Encl: (1) Deadly Force Certification

1. Purpose. To promulgate policy and procedures regarding carrying of firearms on board Naval Training Center (NTC) and to establish uniform policy guidance concerning the use of force by all military and civilian personnel on the complex engaged in law enforcement or security duties.

2. Cancellation. NTCGLAKESINST 5500.9. This instruction has been substantially revised and should be reviewed in its entirety.

3. Scope. This instruction is applicable to civilian and military personnel who, by the nature of their duties, are designated to carry firearms on board the NTC Complex. The term "firearms", as used in this instruction, covers all types of weapons customarily used by an individual for personal protection, protection of property and for the apprehension of desperate criminals. Personnel participating in supervised training programs are excluded from the scope of this instruction while under the direction of an authorized range instructor.

4. Policy. Commanding Officers have the responsibility and authority to maintain security and enforce law and order within their commands. Inherent in this responsibility is the requirement that only mature, properly trained personnel be authorized to carry firearms. The applicable provisions of references (a) and (b), and this instruction will be adhered to when qualifying and authorizing personnel to carry firearms in the performance of their official duties. This instruction does not attempt to cover all facets of firearm regulations, but rather to promulgate certain non-inclusive guidelines. Specific information not included herein should be obtained from references (a) and (b), or other pertinent regulations.

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5. Guidelines

a. No person will be authorized to carry a firearm on board the NTC complex until the following minimum requirements are met:

(1) Satisfactorily completed a qualification course outlined in references (a) or (b), as applicable.

(2) Requalifies at least once each calendar year.

(3) Acknowledges the proper use of deadly force by completing enclosure (1).

(4) Possesses an "Authorization to Carry Firearms" Card (OPNAV Form 5512/2), properly signed by the Commander, Naval Training Center (CNTC), Great Lakes, or the NTC Security Officer.

b. Military members and civilian employees will carry only government issued weapons and ammunition in the performance of their duties, and utilize only the government issued weapon and ammunition they have been issued. Government issued weapons are to be checked into their authorized storage facility at the completion of duty.

c. Military members and civilian employees will only be qualified on the weapons range with authorized government issued weapons and ammunition.

d. Military members and civilian employees are not authorized to carry or use any privately owned weapons on board the NTC complex during the performance of duty.

e. Military members and civilian employees are not authorized, by virtue of their employment aboard the complex, to carry a privately owned weapon in any civilian jurisdiction. Anyone desiring to carry a privately owned weapon in any civilian community must individually obtain authorization to do so from the civilian jurisdiction involved.

f. Normally, only personnel 21 years or older will be authorized to carry firearms, with exceptions on a case-by-case basis.

g. No individual will be permitted to perform security duties until he/she has received instruction on applicable regulations relating to the use of force in the performance of such duties. These regulations are contained in references (a) through (c).

h. Marine Corps personnel will comply with applicable directives issued by the Commandant of the Marine Corps.

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i. No individual will be permitted to carry a firearm, while on any prescribed/over the counter medication, which causes drowsiness or may inhibit normal reactions. The individual must notify his/her Watch Commander or watchbill coordinator at least eight hours prior to assuming duty.

6. Firing at Another Person/Use of Deadly Force. Navy policy concerning use of force is set forth in reference (c). Deadly force is that force which a person uses with the purpose of causing (or which he knows, or he should know, would create a substantial risk of causing) death or serious bodily harm. When it becomes necessary to fire implementing the use of deadly force, (under conditions of extreme necessity, as a last resort), an order to halt shall be given (circumstances permitting), and such fire shall be done in an attempt to wound or disable the person only. Specific guidelines are provided in reference (c) and enclosure (1), and will be acknowledged.

7. Armed Escorts. Instructions detailing escorts and guarding prisoners must be specific as to the extent of their authority to use firearms, if firearms have been directed to be carried (the use of deadly force must be specifically authorized by competent authority). Use of firearms is justified only under conditions of extreme necessity and as a last resort when all lesser means have failed or cannot reasonably be employed. Authority of armed escorts to fire weapons is considered justified only to disable, and then only when the fleeing prisoner is known to be of dangerous or vicious character (i.e., charged with or convicted of a felony of a serious nature such as armed robbery, murder or rape). Normally, unarmed escorts shall be used to transport prisoners who are not considered to be of a dangerous or vicious nature.

8. Contract Security Guards. Prior to assigning an employee to perform duties as a security guard, the contractor shall provide (at contractor's expense) a formal training program conducted at facilities outside the Naval complex. Each contract guard shall receive training as outlined in reference (b) and other training which may be required.

9. Action

a. Commanding Officers will ensure that the spirit and intent of this instruction and references (a) and (b) are complied with prior to requesting anyone within their commands to carry firearms.

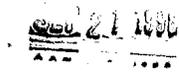
b. CNTC will provide small arms instruction and qualification firing, including the preparation of the necessary records to properly document personnel qualifying in firearms.

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c. Each person authorized to carry a firearm must ensure they have in their possession a properly executed "Authorization to Carry Firearms" (OPNAV Form 5512/2) whenever carrying a weapon on board the NTC complex or in the performance of their duties.

  
KEVIN P GREEN

Distribution:  
NTCGLAKESINST 5216.5M  
Lists I, II, III



## DEADLY FORCE CERTIFICATION

A. **Deadly force** is that force a person uses causing, or that a person knows or should know would create a substantial risk or causing death or serious bodily harm. Its use is justified only under conditions of extreme necessity as a last resort, when all lesser means have failed or cannot reasonably be employed, and only under one or more of the following circumstances:

1. **Self Defense and Defense of Others.** When deadly force reasonably appears to be necessary to protect law enforcement or security personnel who reasonably believe themselves or others to be in imminent danger of death or serious bodily harm.
2. **Assets Involving National Security.** When deadly force reasonably appears necessary to prevent the actual theft or sabotage of assets vital to national security. DOD assets shall be specifically designated as "vital to national security" only when their loss, damage, or compromise would seriously jeopardize the fulfillment of a national defense mission.
3. **Assets Not Involving National Security, But Inherently Dangerous to Others.** When deadly force reasonably appears necessary to prevent the actual theft or sabotage of resources, such as operable weapons or ammunition, that are inherently dangerous to others; i.e., assets that, in the hands of an unauthorized individual present a substantial potential danger of death or serious bodily harm to others.
4. **Serious Offenses Against Persons.** When deadly force reasonably appears necessary to prevent the commission of a serious offense involving violence and threatening death or serious bodily harm (e.g., murder, armed robbery and aggravated assault).
5. **Arrest or Apprehension.** When deadly force reasonably appears to be necessary to arrest, apprehend, or prevent the escape of a person who, there is probable cause to believe, has committed an offense of the nature specified in subparagraphs A (2) thru (4) above.
6. **Escapes.** When deadly force has been specifically authorized by the Secretary of the Navy and reasonably appears to be necessary to prevent the escape of a prisoner, provided law enforcement or security personnel have probable cause to believe that the escaping prisoner poses a threat of serious bodily harm either to security personnel or others.

B. **Additional Considerations Involving the Use of Firearms.** If in any of the circumstances set forth above, it becomes necessary to use a firearm, the following precautions shall be observed, provided it is possible to do so consistent with the prevention of death or serious bodily harm:

1. Warning shots are prohibited. (A command to halt will be given before any shots are fired.)
2. When a firearm is discharged, it will be fired with the intent of rendering the person(s) at whom it is discharged incapable of continuing the activity or course of behavior prompting the individual to shoot.
3. Shots shall be fired only with regard for the safety of innocent bystanders.
4. In the case of holstered weapons, a weapon should not be removed from the holster unless there is probable expectation that the weapon may be necessary.
5. Weapons will not be fired from a moving vehicle.
6. Only Government weapons and standard military ammunition, officially issued for on-duty use in the performance of law enforcement security functions may be carried by security force members. **The use of privately owned weapons and ammunition is prohibited.**

C. **Serious Bodily Harm.** Does not include minor injuries, such as a black eye or a bloody nose, but does include fractured or dislocated bones, deep cuts, torn members of the body, serious damage to the internal organs, and other life-threatening injuries.

D. **Escalation of Force.** Is taking means appropriate to the threat or equal to the threat. These methods must be used from the - Least severe to the Most severe!

- |                            |                     |                            |              |
|----------------------------|---------------------|----------------------------|--------------|
| 1. Physical presence.      | 3. Handcuffs.       | 5. Baton.                  | 6. Firearms. |
| 2. Physical confrontation. | 4. Chemical agents. | 5a. Military Working Dogs. |              |

### FIREARM SAFETY RULES

1. TREAT EVERY WEAPON AS IF IT WAS LOADED.
2. NEVER POINT A WEAPON AT ANYTHING YOU DO NOT INTEND TO SHOOT.
3. KEEP YOUR FINGER STRAIGHT AND OFF THE TRIGGER UNTIL YOU ARE READY TO FIRE.
4. KEEP THE WEAPON ON SAFE UNTIL YOU INTEND TO FIRE.

**SECURITY FORCE MEMBER'S CERTIFICATION**

**NOTE:** CNTC has determined there are currently no "Assets Involving National Security" aboard the NTC Complex that would necessitate the use of Deadly Force. All personnel concerned with safe guarding "Assets Involving National Security" will be notified (in writing) if there is a change to this policy.

I certify by my signature that I have read, understand, and will comply with the U.S. Navy regulations on the Use of Deadly Force.

I further certify by my signature that I have read and understand Serious Bodily Harm, Escalation of Force and will comply with and follow the Firearm Safety Rules and Escalation of Force.

Otr.	SIGNATURE	NAME (print)	DATE
1st			
2nd			
3rd			
4th			
<b>SUPERVISOR'S / TRAINING OFFICER'S CERTIFICATION</b>			

I certify that the above individual has received instructions on the Use of Deadly Force, is proficient as required by U.S. Navy regulations.

I further certify that the above individual has received instructions on Serious Bodily Harm, Escalation of Force, and Firearm Safety Rules, is proficient by U.S. Navy regulations and is qualified to be assigned duties which require that he/she be armed.

Otr.	SIGNATURE	NAME (print)	DATE
1st			
2nd			
3rd			
4th			